



AL RAYAN BANK

Individual/Sole Trader/Sole Proprietor Self-certification form

Need more information?



alrayanbank.co.uk



0800 4086 407

Mon to Fri: 9am-5pm

Her Majesty's Revenue and Customs (HMRC) requires Al Rayan Bank PLC to collect and report certain information about an account holder's tax residency. Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you're resident in the jurisdiction on the following website www.oecd.org/tax/automatic-exchange/.

If you are completing this form on behalf of the beneficial owner of the account, please fill in their details. In certain circumstances we may be required to share this information with the relevant tax authorities. For joint or multiple account holders, please use a separate form for each individual person.

If you're a US citizen or resident of the US for tax purposes under US Internal Revenue Service (IRS), you should also complete the IRS W-9 Form.

All fields marked with a * are mandatory. For your assistance, you can read the selected summaries of defined terms on our website at alrayanbank.co.uk/tax-forms.

Section 1 Account holder details

	First applicant	Second applicant (if any)																
Full name incl. title*	<input type="text"/>	<input type="text"/>																
Date of birth*	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Town of birth*	<input type="text"/>	<input type="text"/>																
Country of birth*	<input type="text"/>	<input type="text"/>																
Nationality*	<input type="text"/>	<input type="text"/>																
Permanent address incl. country*	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
Mailing address incl. country*	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																
	<input type="text"/>	<input type="text"/>																

Section 2

Tax residency and citizenship information

For the purposes of taxation, please state which countries you're a resident of and include your Tax Identification Number (TIN), or an equivalent of a TIN in that country.

It is mandatory that you include your TIN (or equivalent). If a TIN is unavailable please provide where appropriate, reason A, B or C:

Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (please explain why you are unable to obtain a TIN)

Reason C – No TIN is required. Only select this reason if the authorities of the country of Tax Residence do not require TIN to be disclosed

If you are solely a tax resident of the United Kingdom, you do not need to provide a TIN.

	First applicant	Second applicant (if any)
Are you a US Person?*	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If you have answered Yes to the above question please provide your TIN (or tick the box if TIN is not available)	<input type="text"/>	<input type="text"/>
If TIN is not available, state reason code	<input type="text"/>	<input type="text"/>
If you have stated Reason B above, please provide an explanation	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
Are you resident for tax purposes in any country other than the UK?*	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If No, then proceed to Section 3 Declaration Section. If Yes then fill in sections below.		
Country of tax residence	<input type="text"/>	<input type="text"/>
TIN (or tick the box if TIN is not available)	<input type="text"/>	<input type="text"/>
If TIN is not available, state reason code	<input type="text"/>	<input type="text"/>
If you have stated Reason B above, please provide an explanation	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
Country of tax residence	<input type="text"/>	<input type="text"/>
TIN (or tick the box if TIN is not available)	<input type="text"/>	<input type="text"/>
If TIN is not available, state reason code	<input type="text"/>	<input type="text"/>
If you have stated Reason B above, please provide an explanation	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>

First applicant

Second applicant (if any)

Country of tax residence

TIN (or tick the box if TIN is not available)

If TIN is not available, state reason code

If you have stated **Reason B** above, please provide an explanation

Country of tax residence

TIN (or tick the box if TIN is not available)

If TIN is not available, state reason code

If you have stated **Reason B** above, please provide an explanation

Country of tax residence

TIN (or tick the box if TIN is not available)

If TIN is not available, state reason code

If you have stated **Reason B** above, please provide an explanation

Section 3

Declaration and signature

Tax legislations referred to in this form are regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act, various agreements to improve international tax compliance entered into between the UK, the Crown Dependencies and the Overseas Territories, and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information, as implemented in the relevant jurisdictions.

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with Al Rayan Bank PLC setting out how Al Rayan Bank PLC may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder (or I am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise Al Rayan Bank PLC within 28 days of any change in circumstances which affects the tax residency status of the individual identified in Section 1 of this form or causes the information contained herein to become incorrect or incomplete, and to provide Al Rayan Bank PLC with a suitably updated self-certification and declaration within 28 days of such change in circumstances.

Signature and date*

First applicant's signature

Your signature must not go outside the box

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Second applicant's signature

Your signature must not go outside the box

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a Power of Attorney please also attach a certified copy of the Power of Attorney.

Capacity:

Al Rayan Bank PLC is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

Our firm reference number is 229148. Al Rayan Bank PLC is incorporated and registered in England and Wales. Registration No. 4483430.

Registered office: 44 Hans Crescent, Knightsbridge, London, SW1X 0LZ.