

Transfer Authority Form

Stocks and Shares ISA Transfer

Need more information?



alrayanbank.co.uk



0800 4086 407

Mon to Fri: 9am–7pm Sat: 9am–1pm

Returning this form

It is important that you complete this form in full, if you wish us to transfer your existing stocks and shares ISA to an Al Rayan Bank PLC cash ISA. **If you wish to transfer more than one stocks and shares ISA, you must complete an authority form for each transfer request.** If you wish to transfer a cash ISA, you must complete a cash ISA authority form.

Please use **black ink** and **BLOCK capitals**, and clearly tick the appropriate boxes.

Transferring a stocks and shares ISA can take up to 30 business days. We will work with your current ISA provider to complete the transfer as quickly as possible. If you require help to complete the application form, please call our **Customer Services team** on **0800 4086 407**. Applicants must be over 16.

If you have previously opened an Al Rayan Bank cash ISA, your account number will need to be included in Section C. If it is not included, the transfer may be delayed. If you are opening an Al Rayan Bank cash ISA at the same time as making your stocks and shares ISA transfer application, please ensure that you indicate this in Section C.

Section A Personal details

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other (please state) <input type="text"/>								
Full name	<input type="text"/>								
Date of birth	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
National Insurance Number	<input type="text"/>								
Address	<input type="text"/> <input type="text"/> <input type="text"/>								
Postcode	<input type="text"/>								
Contact phone number (including area code)	<input type="text"/>								

Section B Information about the existing manager / ISA provider

Name	<input type="text"/>
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Section C

Information about the stocks and shares ISA to be transferred

ISA account / reference number

Please note that as well as being subject to the terms and conditions of the Al Rayan Bank PLC cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your existing stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA balance to be transferred. Your existing ISA may also place conditions on how a transfer is undertaken e.g. when deciding which investments are sold to facilitate the transfer
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead
- There may be outstanding fees to pay before the transfer can go ahead

Such conditions may have an effect on the ability of Al Rayan Bank PLC to transfer your ISA balance. If you are not sure what conditions may apply to your existing ISA, please check with your existing provider before completing this form.

1. Do you wish to transfer all or part of your stocks and shares ISA?

All Part

2. Have you subscribed to this stocks and shares ISA in the current tax year?

Yes No

If you answered 'All' to question 1 please proceed to Section E – Transfer Authority.

If you answered 'Yes' to question 2 and you are transferring part of your stocks and shares ISA, please answer questions 3, 4 and 5 as appropriate:

3. Do you wish to include the subscription from the current tax year in your transfer?

Yes No

If your partial transfer includes an element of this tax year's subscription, it is important to note that under HM Revenue & Customs ISA regulations, only whole transfers of current tax year subscriptions can be accepted.

4. If you only wish to transfer your current year's subscription in full - please tick here, **or**

5. If you only wish to transfer all of your subscriptions from previous tax years - please tick here

Please confirm the account number you wish to transfer to:

Al Rayan Bank cash ISA account number, **or**

If you have applied to open an Al Rayan Bank cash ISA - please tick here

Section D

Stocks, shares and / or funds that you wish to sell

If you answered 'All' to question 1 Section C - Information about the stocks and shares ISA to be transferred, this will result in the sale of all the investments currently held in your stocks and shares ISA. The sale proceeds, together with any uninvested cash currently held in your stocks and shares ISA, will be transferred to Al Rayan Bank PLC. **In this case, please ignore this section and proceed to Section E - Transfer Authority.**

If you answered 'Part' to Question 1 Section C - Information about the stocks and shares ISA to be transferred

Please confirm the total amount for your partial transfer

£

Please use this section to give instructions to your current stocks and shares ISA manger / provider with regards to the specific value or Tax Years(s) that should be transferred and / or what investments should be sold to meet your transfer request.

Alternatively, you may provide this information by supplying a separate list, for example you can annotate a valuation statement from your existing ISA manger / provider. **Please check that your selection is permitted by your existing stocks and shares ISA manger / provider prior to submitting this form. Your existing ISA manger / provider may contact you to confirm this and agree which investments to sell.**

Description of investment	Investment indicator e.g. ISIN, SEDOL (if known)	Number or value of stocks and shares or units, or specify 'All' to sell the entire holding

If your stocks and shares ISA includes an uninvested cash balance:

Please confirm the total amount for your partial transfer, **or**

£

If you wish to transfer all of your uninvested cash balance
- please tick here

Section E

Transfer authority

I authorise my existing ISA manager / ISA provider as specified in Section B of this stocks and shares ISA Transfer Authority Form, to sell investments and transfer the ISA (identified by the account / reference number provided in Section C of this Stocks and Shares ISA Transfer Authority Form) to Al Rayan Bank PLC.

I authorise my existing ISA manager / ISA provider to provide Al Rayan Bank PLC with any information, written or non-written, concerning the stocks and shares ISA and to accept any instructions from them relating to the stocks and shares ISA to be transferred, and the transfer process.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for a full term and has yet to reach redemption, I instruct my existing ISA manager / ISA provider to either (please tick the appropriate box):

- Wait for the full notice period to end, or wait until redemption / the maturity date (whichever is relevant), before going ahead with the transfer, **or**
- Depending on the terms and conditions, carry out the transfer as soon as possible. I am aware that this may lead to a loss of income / capital or that charges may be applied

Signed (Your signature must not go outside the box)

Dated:

D	D	M	M	Y	Y	Y	Y
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Section F

Data protection and marketing consent

Any information which you provide to us will be added to our database and used to administer your account, for statistical analysis, for debt collection and fraud prevention.

We may provide your data to third parties who are involved in the delivery of our products and services, however, we will not provide your information to third parties for marketing purposes.

You may request in writing, upon payment of a fee, a copy of the details held about you by Al Rayan Bank PLC.

Keeping you informed

From time to time we'd also love to tell you about our Islamic financial services, products and any new offers that we think you'd be interested in based on the information we hold about you. If you would like to hear from us about these please tick the appropriate box(es) below so we know how you would prefer us to contact you.

Newsletter Email Post SMS Phone

If you would prefer not to hear from us with Al Rayan Bank marketing information and offers, please tick here:

You can change your preferences at any time, by writing to us at **Al Rayan Bank, PO Box 12461, Birmingham, B16 6AQ.**

If you would like more information about how we use your information please visit our website at alrayanbank.co.uk/privacy.

Section G

Transfer acceptance (to be completed by Al Rayan Bank)

Al Rayan Bank are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met:

- The transfer proceeds are made up of cash deposits
- We receive the transfer proceeds no later than the date that we deem to be the transfer date of this ISA (see below).

For the purposes of the transfer of the ISA wrapper under the HM Revenue & Customs ISA regulations, we deem the following date to be the transfer date:

Date:

D	D	M	M	Y	Y	Y	Y
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Details of the new ISA Manager:

Name

Al Rayan Bank PLC

Address

24a Calthorpe Road

Edgbaston

Birmingham

West Midlands

Postcode

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Al Rayan Bank PLC is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

Our firm reference number is 229148. Al Rayan Bank PLC is incorporated and registered in England and Wales. Registration No. 4483430.

Registered office: 44 Hans Crescent, Knightsbridge, London, SW1X 0LZ.